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Applicant:

Bratcher et al.

Serial No.:

10/781,448

Filed:

February 18, 2004

For:

Flexible Processing Apparatus For Isolating And Purifying

Viruses, Soluble Proteins And Peptides From Plant Sources

Group No.

Not yet assigned

Attorney's Docket No.

N9911

Customer No.

34309

### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

During the prosecution of the parent application Serial No. 09/970,150 Applicant filed the following listed Information Disclosure Statements and Forms PTO-1449, and received the following listed Form PTO-892 from the Patent and Trademark Office, copies of which are attached hereto. Copies of the cited references are not being enclosed since they are available in the parent file.

- Information Disclosure Statement and Form PTO-1449 dated
   January 14, 2002.
- Supplemental Information Disclosure Statement and Form PTO-1449 dated May 1, 2003.
- Supplemental Information Disclosure Statement and Form PTO-1449 dated April 16, 2004.
- 4. Form PTO-1449 date stamped May 6, 2003 by Patent and

Trademark Office.

- Form PTO-1449 date stamped January 29, 2002 by Patent and Trademark Office.
- 6. Notice of References Cited Form PTO-892, Part of Paper No. 1904.

A new Form PTO-1449 listing all of the references is included for the Examiner's convenience.

Respectfully submitted,

Lucian Wayne Beavers
Registration No. 28,183
WADDEY & PATTERSON
A Professional Corporation
414 Union Street, Suite 2020
Bank of America Plaza
Nashville, TN 37219
(615) 242-2400
Attorneys for Applicant

## CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 16, 2004.

Claire Ulanoff

5-19-04

Date

Page 1 of 1 Comparable to U.S. Department of Commerce Attv. Docket No. Serial No. Form PTO-1449 Patent & Trademark Office N9911 10/781,448 Customer No. 34309 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) **Applicant** Bratcher et al. Filing Date Group February 18, 2004 Unknown Examiner Filing Date Initial Document No. Date Name Class Subclass If Appropriate 4,268,632 May 19, 1981 Wildman et al. 435 232 4,333,871 Jun. 8, 1982 260 De Jong 112 4,347,324 Aug. 31, 1982 Wildman et al. 435 232 4,540,490 Sep. 10, 1985 Shibata et al. 210 323.2 4,632,318 Dec. 30, 1986 Hyuga 241 37 4,941,484 Jul. 17, 1990 Clapp et al. 131 297 4,966,576 Oct. 30, 1990 Schulz et al. 494 56 5,121,757 Jun. 16, 1992 White et al. 131 297 5,131,414 Jul. 21, 1992 Fagg et al. 131 297 5,267,937 Dec. 7, 1993 Zettier et al. 494 56 5,377,698 Jan. 3, 1995 Litzinger et al. 131 370 Oct. 14, 1997 5,676,631 Kunz et al. 494 71 5,765,570 Jun. 16, 1998 Litzinger et al. 131 370 5,795,477 Aug. 18, 1998 Herman et al. 210 360.1 5,865,719 Feb. 2, 1999 494 Droste et al. 27 5,899,845 May 4, 1999 Kohlstette et al. 494 37 6.083.293 Jul. 4, 2000 Rath 71 16 6,106,715 Aug. 22, 2000 Thalmann et al. 210 321.83 6,037,456 March 14, 2000 Garger et al. 530 412 4,907,167 March, 1990 Skeirik 700 10 Nov., 1999 5,993,795 Osawa et al. 424 74 MISCELLANEOUS DOCUMENTS Samuel Wildman, "An Alternate Use For Tobacco Agriculture: Proteins For Food Plus A Safer Smoking Material" in Plants: The Potentials For Extracting Protein, Medicines And Other Useful Chemicals - Workshop Proceedings (U.S. Congress, Office of Technology Assessment, OTA-BP-23, Washington, DC, September 1983). L. Jervis and W.S. Pierpoint (1989) Purification technologies for plant proteins, Jour. Of Biotechnology 11:161-198. US 2002/0088024 A1 Patent Application Publication, Garger et al., Pub. Date Jul. 4, 2002. Lamsal et al., Separation of Protein Fractions in Alfalfa Juice: Effects of Some Pre-treatment Methods. Published September 6, 2002 by the United States Department of Agriculture Agricultural Research Service. Project Progress Report - Chemical Efficiency of the Pilot Plant in Owensboro - Part IV; Author Jan Arnarp, October 11, 1995. FOU Report No. 96/01 - Chemical Efficiency of the Pilot Plant in Owensboro - Part IV by Jan Arnarp, August 29, 1996. NEW RIVERSIDE UNIVERSITY DICTIONARY, Published by The Riverside Publishing Company and Houghton Mifflin Company, One Beacon Street, Boston, MA 02108, USA (1984), page 589. Examiner: Date Considered

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# NETHE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bratcher et al.

Serial No.:

09/970,150

Filed:

October 3, 2001

For:

Flexible Processing Apparatus for Isolating and Purifying

Viruses, Soluble Proteins and Peptides from Plant Sources

Attorney's Docket No.

N-7815

## INFORMATION DISCLOSURE STATEMENT

To The Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicants and their attorneys are aware of the prior art references listed on the enclosed Form PTO-1449. Copies of the cited references are also enclosed.

Respectfully submitted,

Lucian Wayne Beavers

Registration No. 28,183

WADDEY & PATTERSON

414 Union Street, Suite 2020

Bank of America Plaza

Nashville, TN 37219

(615) 242-2400

Attorneys for Applicant

# CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT, Form PTO-1449 and copies of cited references are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, DC 20231

on Jan. 14, 2002

Lucian Wayne Beavers

Signature

Registration Number 28,183

**TATE** 

Page 1 of 1 Comparable to U.S. Department of Commerce Atty. Docket No. Serial No. Form PTO-14 Patent & Trademark Office N-7815 09/970,150 al sheets if necessary) **Applicant** Bratcher et al. Filing Date Group October 3, 2001 Unknown Examiner Filing Date Initial Document No. Date Name Class Subclass If Appropriate 4,268,632 May 19, 1981 Wildman et al. 435 232 4,333,871 Jun. 8, 1982 De Jong 260 112 4,347,324 Aug. 31, 1982 Wildman et al. 435 232 4,540,490 Sep. 10, 1985 Shibata et al. 210 323.2 4,632,318 Dec. 30, 1986 Hyuga 241 37 4,941,484 Jul. 17, 1990 Clapp et al. 131 297 4,966,576 Oct. 30, 1990 Schulz et al. 494 56 5,121,757 Jun. 16, 1992 White et al. 131 297 5,131,414 Jul. 21, 1992 Fagg et al. 131 297 5,267,937 Dec. 7, 1993 Zettier et al. 494 56 5,377,698 Jan. 3, 1995 Litzinger et al. 131 370 5,676,631 Oct. 14, 1997 Kunz et al. 494 71 5,765,570 Jun. 16, 1998 Litzinger et al. 370 131 5,795,477 Aug. 18, 1998 Herman et al. 210 360.1 5,865,719 Feb. 2, 1999 Droste et al. 494 27 5,899,845 May 4, 1999 Kohlstette et al. 494 37 ... 6,083,293 Jul. 4, 2000 Bath 71 16 100 6,106,715 Aug. 22, 2000 Thalmann et al. 210 321.83 . . . . . . MISCELLANEOUS DOCUMENTS Samuel Wildman, "An Alternate Use For Tobacco Agriculture: Proteins For Food Plus A Safer Smoking Material" in Plants: The Potentials For Extracting Protein, Medicines And Other Useful Chemicals - Workshop Proceedings (U.S. Congress, Office of Technology Assessment, OTA-BP-23, Washington, DC, September 1983). L. Jervis and W.S. Pierpoint (1989) Purification technologies for plant proteins, Jour. of Biotechnology 11:161-198.

Examiner:

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# WAN 2 LOWE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bratcher et al.

Serial No.:

09/970,150

Filed:

October 3, 2001

For:

Flexible Processing Apparatus For Isolating And Purifying

Viruses, Soluble Proteins And Peptides From Plant Sources

Group No.

Attorney's Docket No.

2161 N7815

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.56, applicant hereby calls to the attention of the Patent and Trademark Office the following patents and other documents which are listed on attached Form PTO-1449.

Each document listed in this Supplemental Information Disclosure Statement was cited in a communication from the United States Patent Office in a counterpart PCT application, and this Supplemental Information Disclosure Statement is being filed within three months of the mailing date of that communication.

- U.S. Patent No. 6,037,456 issued March 14, 2000, to Garger et al.
- 2. US2002/0088024 A1 Patent App. Pub. Garger et al., Pub. Jul. 4, 2002.
- 3. Lamsal et al., Separation of Protein Fractions in Alfalfa Juice: Effects of Some Pre-treatment Methods. <u>TEKRAN</u>. Published September 6, 2002 by the United States Department of Agriculture Agricultural Research Service.

Copies of the listed documents are attached.

Applicant respectfully requests that these references be considered by the Examiner and made of record as part of the "available prior art" under 37 C.F.R. §1.104.

The Commissioner is authorized to charge any deficiency or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 23-0035.

Respectfully submitted,

Emily A. Shouse

Registration No. 44,336

WADDEY & PATTERSON

A Professional Corporation

Customer No. 23456

ATTORNEY FOR APPLICANT

Emily A. Shouse Waddey & Patterson 414 Union Street, Suite 2020 Bank of America Plaza Nashville, TN 37219 (615) 242-2400

## CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Supplemental Information Disclosure Statement is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop IDS, P.O. Box 1450, Alexandria, VA 22313-1450, on 5-1-03.

Claire Ulanoff



Page 1 of 1

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|                         | 6,037  | ,456                    | March 14, 2000                                   | Garger et al.       |   | 530                               | 412  |                               |
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|                         | Lamsal et  | 2088024 /               | A1 Patent Application                            | Publication, Garger | et al., Pub                             | . Date Jul. 4,                    | 2002.  |                               |
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N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bratcher et al.

Serial No.:

09/970,150 October 3, 2001

Filed: For:

Flexible Processing Apparatus For Isolating And Purifying

Viruses, Soluble Proteins And Peptides From Plant Sources

Group No.

1631

Attorney's Docket No.

N7815

Customer No.

23456

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and § 1.97(c), applicant hereby calls to the attention of the Patent and Trademark Office the following patents and other documents which are listed on attached Form PTO-1449.

This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action or a Notice of Allowance. Under the provisions of 37 C.F. R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

- 1. Project Progress Report Chemical Efficiency of the Pilot Plant in Owensboro - Part IV; Author Jan Arnarp, October 11, 1995;
- 2. FOU Report No. 96/01 Chemical Efficiency of the Pilot Plant in Owensboro Part IV, Author Jan Arnarp, August 29, 1996.

Copies of the listed documents are attached.

Applicant respectfully requests that these references be considered by the Examiner and made of record as part of the "available prior art" under 37 C.F.R. §1.104.

The Commissioner is authorized to charge any deficiency or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 23-0035.

Respectfully submitted,

Lucian Wayne Beavers Registration No. 28,183

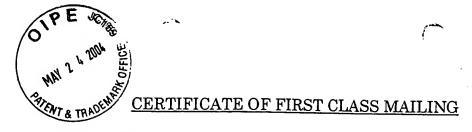
WADDEY & PATTERSON

A Professional Corporation

Customer No. 23456

ATTORNEY FOR APPLICANT

Lucian Wayne Beavers Waddey & Patterson 414 Union Street, Suite 2020 Bank of America Plaza Nashville, TN 37219 (615) 242-2400



I hereby certify that this Supplemental Information Disclosure Statement, along with a check in the amount of \$180 is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 16, 2004.

Lucian Wayne Beavers

Registration No. 28,183

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Page 1 of 1

Comparable to Form PTO-1449

Examiner

U.S. Department of Commerce Patent & Trademark Office

Atty. Docket No.

Customer No. 23456

Serial No.

INFORMATION DISCLOSURE CITATION

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N7815

09/970,150

**Applicant** 

Bratcher et al.

Filing Date October 3, 2001 Group

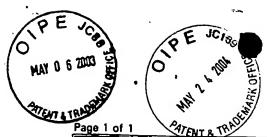
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|          |  | And an Inch                        | Report - Chemical | Efficiency of the Pilot Pl | lant in Owe | ensboro - Par | t IV;                      |  |  |  |
|          | Author Jan Arnarp, October 11, 1995:   |                                    |                   |                            |             |               |                            |  |  |  |
|          | FOU Report No. 96/01 - Chemical Efficiency of the Pilot Plant in Owensboro - Part IV |                                    |                   |                            |             |               |                            |  |  |  |
|          |  | Author Jan Arnarp, August 29, 1996 |                   |                            |             |               |                            |  |  |  |
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Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.



Comparable to U.S. Department of Commerce Atty. Docket No. Serial No. Form PTO-1449 Patent & Trademark Office N7815 09/970,150 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) **Applicant** Bratcher et al. Filing Date Group 1631 October 3, 2001 -216+ Examiner Filing Date Initial Document No. Date Name Class Subclass If Appropriate AM 6,037,456 March 14, 2000 Garger et al. 530 412 MISCELLANEOUS DOCUMENTS US 2002/0088024 A1 Patent Application Publication, Garger et al., Pub. Date Jul. 4, 2002. Lamsal et al., Separation of Protein Fractions in Alfalfa Juice: Effects of Some Pre-treatment Methods. TEKRAN. Published September 6, 2002 by the United States Department of Agriculture Agricultural Research Service. **Date Considered** Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in \*Examiner: conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Comparable to
Form PTO-1449

U.S. Department of Commerce Patent & Trademark Office

Atty. Docket No.

Serial No.

N-7815

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Applicant

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Bratcher et af Technology Center 2100

Page 1 of 1

Filing Date
October 3, 2001

Group Unknown 1631

| Examiner<br>Initial | Document No. | Date          | Name              | Class | Subclass | <ul> <li>Filing Da<br/>If Appropria</li> </ul> |
|---------------------|--------------|---------------|-------------------|-------|----------|--|
| AM                  | 4,268,632    | May 19, 1981  | Wildman et al.    | 435   | 232      | п Арргорпа                                     |
|                     | 4,333,871    | Jun. 8, 1982  | De Jong           | 260   | 112      |  |
|                     | 4,347,324    | Aug. 31, 1982 | Wildman et al.    | 435   | 232      | <del></del>                                    |
|                     | 4,540,490    | Sep. 10, 1985 | Shibata et al.    | 210   | 323.2    |  |
|                     | 4,632,318    | Dec. 30, 1986 | Hyuga             | 241   | 37       |  |
|                     | 4,941,484    | Jul. 17, 1990 | Clapp et al.      | 131   | 297      |  |
|                     | 4,966,576    | Oct. 30, 1990 | Schulz et al.     | 494   | 56       |  |
|                     | 5,121,757    | Jun. 16, 1992 | White et al.      | 131   | 297      |  |
|                     | 5,131,414    | Jul. 21, 1992 | Fagg et al.       | 131   | 297      | ·  |
|                     | 5,267,937    | Dec. 7, 1993  | Zettier et al.    | 494   | 56       |  |
|                     | 5,377,698    | Jan. 3, 1995  | Litzinger et al.  | 131   | 370      |  |
|                     | 5,676,631    | Oct. 14, 1997 | Kunz et al.       | 494   | 71       |  |
|                     | 5,765,570    | Jun. 16, 1998 | Litzinger et al.  | 131   | 370      |  |
|                     | 5,795,477    | Aug. 18, 1998 | Herman et al.     | 210   | 360.1    |  |
|                     | 5,865,719    | Feb. 2, 1999  | Droste et al.     | 494   | 27       |  |
|                     | 5,899,845    | May 4, 1999   | Kohistette et al. | 494   | 37       |  |
|                     | 6,083,293    | Jul. 4, 2000  | Bath              | 71    | 16       |  |
| V                   | 6,106,715    | Aug. 22, 2000 | Thalmann et al.   | 210   | 321.83   |  |
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MISCELLANEOUS DOCUMENTS

Samuel Wildman, "An Alternate Use For Tobacco Agriculture: Proteins For Food Plus A Safer Smoking Material" in Plants: The Potentials For Extracting Protein, Medicines And Other Useful Chemicals — Workshop Proceedings (U.S. Congress, Office of Technology Assessment, OTA-8P-23, Washington, DC, September 1983).

L. Jervis and W.S. Pierpoint (1989) Purification technologies for plant proteins, Jour. of Biotechnology 11:161-198.

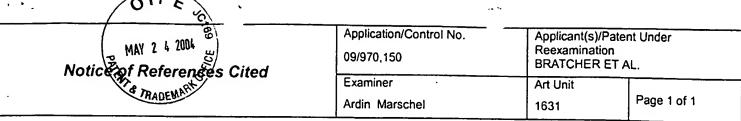
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Date Considered

1-9-04

\*Examiner:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



#### **U.S. PATENT DOCUMENTS**

| * |     | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Name                    | Classification |
|---|-----|--|-----------------|-------------------------|----------------|
|   | Α   | US-4,907,167                                     | 03-1990         | Skeirik, Richard D.     | 700/10         |
|   | В   | US-5,993,795                                     | 11-1999         | Osawa et al.            | 424/74         |
|   | U   | US-  |                 |                         |                |
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#### FOREIGN PATENT DOCUMENTS

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#### **NON-PATENT DOCUMENTS**

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|   | U | NEW RIVERSIDE UNIVERSITY DICTIONARY, [Published by The Riverside Publishing Company and Houghton Mifflin Company, One Beacon Street, Boston, Massachusetts, 02108, USA (1984)], page 589. |
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